



PATENT APPLICATION

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re United States Patent Application of:

Applicant: Virginia Pact Richter and Thomas
Giduz

Application No.: 09/940,309

Date Filed: August 27, 2001

Title: TREATMENT OF MOVEMENT
DISORDERS BY
ADMINISTRATION OF 5-
HYDROXYTRYPTAMINE
RECEPTOR/ α_2 ADRENERGIC
RECEPTOR ANTOAGONIST
COMPOSITIONS

Atty. Docket No.: 4164-101 CON

Examiner: Not Yet Assigned

Group Art Unit: Not Yet Assigned

Paper No.: 3



23448

PATENT TRADEMARK OFFICE

FIRST CLASS MAIL CERTIFICATE

I hereby certify that I am mailing the attached documents to the
Commissioner for Patents on the date specified, in an envelope
addressed to the Commissioner for Patents, Washington, DC 20231,
and First Class Mailed under the provisions of 37 CFR 1.8.

Blake Crouch

November 26, 2001

Date of Mailing

**SUBMISSION OF INFORMATION DISCLOSURE STATEMENT FOR
U.S. PATENT APPLICATION NUMBER 09/940,309**

Commissioner for Patents
Washington, D.C. 20231

Sir:

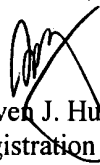
Pursuant to 37 C.F.R. §1.56, the attention of the Patent and Trademark Office is hereby directed to the
reference(s) listed on the attached PTO-1449.

Copies of references AA to AG and AI to AJ were originally made of record in the parent case (now U.S. Patent 6,281,207), and therefore copies of such references AA to AG and AI to AJ are not submitted herein. A copy of new reference AH, however, is enclosed.

It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- ☒ 1. This Information Disclosure Statement is being filed within three months of the U.S. filing date OR before the mailing date of a first Office Action on the merits. No certification or fee is required.
- ☐ 2. This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.
- ☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. §1.97(e)(1).
- ☐ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. §1.97(e)(2).
- ☐ c. Attached is our check no. _____ in the amount of \$_____ in payment of the fee under 37 C.F.R. §1.17(p). Please credit or debit Deposit Account No. _____ as needed to ensure consideration of the disclosed information. Two duplicate copies of this paper are attached.
- ☐ 3. This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. Applicant(s) hereby petition(s) that the Information Disclosure Statement be considered. Attached is our check no. _____ in the amount of \$130.00 in payment of the petition fee under 37 C.F.R. §1.17(i)(1). Please credit or debit Deposit Account No. _____ as needed to ensure consideration of the disclosed information. Two duplicate copies of this paper are attached.
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- ☐ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. §1.97(e)(2).

Respectfully submitted,



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